CA920030057US1 PATENT

-1-

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit:

Howard Borenstein et al.

Not Yet Assigned

Serial No.:

Not Yet Assigned

**IBM** Corporation

Filed:

(Herewith)

Intellectual Property Law

3039 Cornwallis Road

Title: METHOD AND SYSTEM FOR

REFERENCING TRADING

**AGREEMENTS** 

Research Triangle Park, NC 27709

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

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The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37. C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the U.S. Patents and the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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AUSTIN\_1\236305\1 7036-P260US In Place of FORM PTO-1449 (Modified)

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Serial No.: Not Yet Assigned Applicants: Howard Borenstein et al.

Filing Date: (herewith)
Group: Not Yet Assigned

Atty. Docket No.: CA920030057US1

Reference Designation

#### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA	5,794,207	8/11/98	Walker et al.	705	1	
ABA	20020052973	5/2/02	Dines et al.			5/22/01
ACA						
ADA						
AEA				-		
AFA						
AGA						
AHA						
AIA						<del></del>
AJA						
AKA						

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ALA	PCT0070484	5/15/00	PCT			X
AMA	PCT0073928	5/24/00	PCT			X

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:	
ARA		
AQA		
APA		
AOA		
ANA		
Examiner Initial		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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